



## DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled *Device and Method for Forming Anisotropic Films*, which application was filed as Application Serial No. 10/043,959 on January 8, 2002, and which application claims priority to Russian Federation Application No. RU 2001100534 filed on January 10, 2001.

I hereby state that I reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

2001100534  
(Number)

RU  
(Country)

10 January 2001  
(Day/Month/Year Filed)

x  
Yes

    
No

I hereby claim the benefit under Title 35, United States Code, §120 of any U.S. application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior U.S. application in the manner provided by the first paragraph of Title 35, U.S. Code, §112, I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 CFR §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

                      
(Application SN)

                      
(Filing Date)

                      
(Status)

Direct all telephone calls to Aldo J. Test at (650) 494-8700. Address all correspondence to:

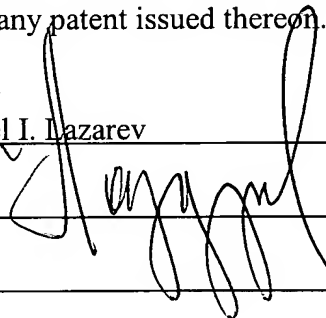
Aldo J. Test  
FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
Suite 3400, Four Embarcadero Center  
San Francisco, California 94111-4187

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first  
joint inventor:

Pavel I. Lazarev

Inventor's signature:



Date:

02/04/02

Residence:

Belmont, California

Citizenship:

RU/US

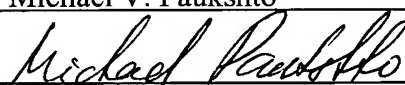
Post Office Address:

52 Rinconada Circle, Belmont, California 94002

Full name of second  
joint inventor:

Michael V. Paukshto

Inventor's signature:



Date:

01.30.02

Residence:

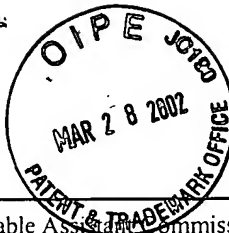
San Mateo, California

Citizenship:

RU/US

Post Office Address:

735 Fathom Drive, #31, San Mateo, California 94404



# RECORDATION FORM COVER SHEET

## PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

#3

To the Honorable Assistant Commissioner for Patents and Trademarks. Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): Pavel I. Lazarev, Michael V. Paukshto</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies): Name: <u>Optiva, Inc.</u> Internal Address: _____</p> <p>Street Address: <u>377 Oyster Point Blvd. #13</u> City: <u>South San Francisco</u> State: <u>CA</u> Zip: <u>94080</u> Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>Execution Date: <u>February 4, 2002</u></p>	

4. Application number(s) or patent number(s): 10/043,959  
If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/043,959

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Aldo J. Test</u> Internal Address: <u>FLEHR HOHBACH TEST</u> <u>ALBRITTON &amp; HERBERT LLP</u></p> <p>Street Address: <u>SUITE 3400</u> <u>FOUR EMBARCADERO CENTER</u> City: <u>SAN FRANCISCO</u> State: <u>CA</u> Zip: <u>94111-4187</u></p>	<p>6. Total number of applications and patents involved: <span style="border: 1px solid black; padding: 2px;">1</span></p> <p>7. Total fee (37 CFR 3.41):.....\$<u>40.00</u></p> <p><input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>06-1300</u> Please debit any underpayment or credit any overpayment to the above deposit account.</p> <p>Our Order No. <u>A-71213/AJT</u></p>
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**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

William E Nuttle  
Name of Person Signing

Signature

March 13, 2002  
Date

Total number of pages including cover sheet, attachments and document: [3]

OMB No. 0651-0011 (exp. 4/94)

**Do not detach this portion**

Mail documents to be recorded with required cover sheet information to:

**Honorable Commissioner of Patents and Trademarks, Box Assignments  
Washington, DC 20231**

File No. A-71213/AJT

Rev. 8/93 (1040295)

## ASSIGNMENT

WHEREAS, the undersigned, **Pavel I. Lazarev**, a resident of **Belmont, California**, and **Michael V. Paukshto**, a resident of **San Mateo, California** (hereinafter "inventors") have invented certain new and useful improvements in ***DEVICE AND METHOD FOR FORMING ANISOTROPIC FILMS***, for which invention we have executed application for Letters Patent Serial no. 10/043,959 filed January 8, 2002, which application claims priority to Russian Application No. 99103911 filed March 1, 1999 and to PCT Application No. PCT/RU00/00045 filed February 9, 2000.

WHEREAS, **OPTIVA, INC.** (hereinafter termed "Assignee"), a Corporation of the State of California, having a place of business at 377 Oyster Point Blvd., #13, South San Francisco, California 94080, is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extension of any of said patents; and (e) all claims for damages by reason of past infringement of said Patents, with the right to sue for and collect the same for its own use and enjoyment, and for use and enjoyment of its successors, assigns or other legal representatives.

2. Said Inventors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications cover-

ing said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms, covenants and conditions of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said assignee on:

Date:

02/04/02

Pavel I. Lazarev

WITNESSES:

Date:

02/04/02

Signed:

Alla Sakharova

Print Name:

Alla Sakharova

Date:

02/04/02

Signed:

Mary J. Champion

Print Name:

MARY J. CHAMPION

Date:

01.30.02

Michael V. Paukshto

WITNESSES:

Date:

01/30/2002

Signed:

Alla Sakharova

Print Name:

Alla Sakharova

Date:

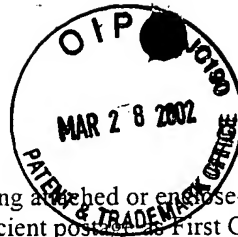
01/30/02

Signed:

Mary J. Champion

Print Name:

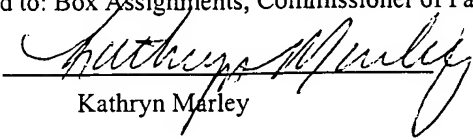
MARY J. CHAMPION



CERTIFICATE OF MAILING (37 CFR 1.8(A))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage to be sent by First Class Mail in an envelope addressed to: Box Assignments, Commissioner of Patents and Trademarks, Washington, D.C. 20231 on *March 14, 2002*.

Signed :

  
Kathryn Marley